

### General information about company

Scrip code	543279
NSE Symbol	SURYODAY
MSEI Symbol	
ISIN	INE428Q01011
Name of the entity	Suryoday Small Finance Bank Limited
Date of start of financial year	01-04-2020
Date of end of financial year	31-03-2021
Reporting Quarter	Yearly
Date of Report	31-03-2021
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

**Annexure I**

**Annexure I to be submitted by listed entity on quarterly basis**

**I. Composition of Board of Directors**

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Ramachandran Rajaraman	AAGPR2084R	01953653	Non-Executive - Independent Director	Chairperson	Shareholder Director	01-01-1952	NA		07-06-2014	24-09-2020		72	0	0	1	0		
2	Ms	Mrutunjay Sahoo	AEAPS6948B	00015715	Non-Executive - Independent Director	Not Applicable	Shareholder Director	16-03-1954	NA		01-12-2015	01-12-2015		60	0	0	1	0		
3	Mr	Jyotin Kantilal Mehta	AAHPM2575M	00033518	Non-Executive - Independent Director	Not Applicable	Shareholder Director	16-02-1958	NA		13-02-2017	13-02-2017		48	2	0	3	1		

4	Ms	Meena Hemchandra	AAIPH0653 G	053371 81	Non-Executive - Independent Director	Not Applicable	Shareholder Director	20-11-1957	NA		15-12-2018	15-12-2018		24	0	0	1	0		
---	----	------------------	-------------	-----------	--------------------------------------	----------------	----------------------	------------	----	--	------------	------------	--	----	---	---	---	---	--	--

## I. Composition of Board of Directors

### Disclosure of notes on composition of board of directors explanatory

#### Wether the listed entity has a Regular Chairperson

Sr	Title (Mr/Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of member ships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	John Arunkumar Diaz	AECDP 6518C	00493304	Non-Executive - Independent	Not Applicable	Shareholder Director	09-08-1951	NA		16-12-2019	16-12-2019		12	0	0	1	1		

					Director															
6	Mr	Venkatesh Nataraajan	AGLPV5452G	02453219	Non-Executive - Nominee Director	Not Applicable		30-01-1968	NA		29-03-2017	29-03-2017			0	0	1	0		
7	Mr	Ranjit Shah	AACPS6095B	00088405	Non-Executive - Nominee Director	Not Applicable		30-05-1958	NA		01-11-2018	01-11-2018			0	0	2	0		
8	Ms	Aleem Remtulla	ZZZZZ9999Z	02872107	Non-Executive - Nominee Director	Not Applicable		27-10-1979	NA		15-12-2018	15-12-2018			0	0	1	0	Textual Information(1)	

## I. Composition of Board of Directors

### Disclosure of notes on composition of board of directors explanatory

#### Whether the listed entity has a Regular Chairperson

Sr	Title (Mr/Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
9	Mr	Baskar Babu Ramachandran	AGEPR3388L	02303132	Executive Director	Not Applicable	CEO-MD	26-07-1969	NA		23-01-2017	23-01-2017			0	0	0	0		

**Text Block**

Textual Information(1) N.A.

**Audit Committee Details**

Whether the Audit Committee has a Regular Chairperson							
					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00033518	Jyotin Kantilal Mehta	Non-Executive - Independent Director	Chairperson	29-03-2017		
2	01953653	Ramachandran Rajaraman	Non-Executive - Independent Director	Member	29-03-2017		
3	05337181	Meena Hemchandra	Non-Executive - Independent Director	Member	06-02-2019		
4	00088405	Ranjit Shah	Non-Executive - Nominee Director	Member	06-02-2019		

<b>Nomination and remuneration committee</b>							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00015715	Mrutunjay Sahoo	Non-Executive - Independent Director	Chairperson	29-03-2017		
2	00033518	Jyotin Kantilal Mehta	Non-Executive - Independent Director	Member	06-02-2019		
3	00493304	John Arunkumar Diaz	Non-Executive - Independent Director	Member	22-01-2020		
4	02453219	Venkatesh Natarajan	Non-Executive - Nominee Director	Member	29-03-2017		
5	00088405	Ranjit Shah	Non-Executive - Nominee Director	Member	06-02-2019		

<b>Stakeholders Relationship Committee</b>							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00493304	John Arunkumar Diaz	Non-Executive - Independent Director	Chairperson	30-03-2020		
2	00015715	Mrutunjay Sahoo	Non-Executive - Independent Director	Member	29-03-2017		
3	02453219	Venkatesh Natarajan	Non-Executive - Nominee Director	Member	06-02-2019		



4	00088405	Ranjit Shah	Non-Executive - Nominee Director	Member	06-02-2019		
5	02872107	Aleem Remtula	Non-Executive - Nominee Director	Member	06-02-2019		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01953653	Ramachandran Rajaraman	Non-Executive - Independent Director	Chairperson	06-02-2019		
2	00033518	Jyotin Kantilal Mehta	Non-Executive - Independent Director	Member	30-03-2020		
3	05337181	Meena Hemchandra	Non-Executive - Independent Director	Member	06-02-2019		
4	02453219	Venkatesh Natarajan	Non-Executive - Nominee Director	Member	29-03-2017		
5	02303132	Baskar Babu Ramachandran	Executive Director	Member	29-03-2017		

<b>Corporate Social Responsibility Committee</b>							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01953653	Ramachandran Rajaraman	Non-Executive - Independent Director	Chairperson	29-03-2017		
2	00015715	Mrutunjay Sahoo	Non-Executive - Independent Director	Member	29-03-2017		
3	02872107	Aleem Remtula	Non-Executive - Nominee Director	Member	06-02-2019		
4	02453219	Venkatesh Natarajan	Non-Executive - Nominee Director	Member	29-03-2017		
5	02303132	Baskar Babu Ramachandran	Executive Director	Member	29-03-2017		

<b>Other Committee</b>						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

## Annexure 1

### Annexure 1

#### III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	23-10-2020				Yes	9	5
2	12-11-2020		19		Yes	9	5
3	28-12-2020		45		Yes	8	5
4		07-01-2021	9		Yes	9	5
5		21-01-2021	13		Yes	9	5
6		08-02-2021	17		Yes	9	5
7		23-02-2021	14		Yes	8	5
8		27-02-2021	3		Yes	7	5
9		02-03-2021	2		Yes	9	5
10		03-03-2021	0		Yes	9	5
11		09-03-2021	5		Yes	9	5
12		10-03-2021	0		Yes	9	5
13		16-03-2021	5		Yes	8	5
14		20-03-2021	3		Yes	8	5

**Annexure 1****Annexure 1****III. Meeting of Board of Directors**

Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
15		24-03-2021	3		Yes	8	5

**Annexure 1****IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	10-11-2020				Yes	4	3

2	Audit Committee	22-12-2020	41			Yes	3	3
3	Audit Committee	08-02-2021	47			Yes	4	3
4	Audit Committee	08-03-2021	27			Yes	4	3
5	Nomination and remuneration committee	23-10-2020				Yes	4	3
6	Nomination and remuneration committee	21-12-2020				Yes	4	3

### Annexure 1

#### IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
7	Nomination and remuneration committee	27-01-2021				Yes	4	3

8	Risk Management Committee	23-10-2020				Yes	4	3
9	Risk Management Committee	12-11-2020				Yes	4	3
10	Risk Management Committee	07-01-2021				Yes	3	3
11	Risk Management Committee	08-03-2021				Yes	4	3
12	Stakeholders Relationship Committee	12-11-2020				Yes	4	2

## Annexure 1

### V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

## Annexure 1

### VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	No

### Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Geeta Krishnan
2	Designation	Company Secretary and Compliance Officer

### Annexure II

**Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

#### I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>
2	Terms and conditions of appointment of independent directors	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>
3	Composition of various committees of board of directors	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>
4	Code of conduct of board of directors and senior management personnel	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>
6	Criteria of making payments to non-executive directors	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>



7	Policy on dealing with related party transactions	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>
8	Policy for determining 'material' subsidiaries	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>
9	Details of familiarization programmes imparted to independent directors	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>

## Annexure II

**Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

### I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>
11	email address for grievance redressal and other relevant details	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>
12	Financial results	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>
13	Shareholding pattern	NA		
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA		

16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	NA		
18	Credit rating or revision in credit rating obtained	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA		
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>
21	Materiality Policy as per Regulation 30	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>
22	Dividend Distribution policy as per Regulation 43A (as applicable)	NA		
23	It is certified that these contents on the website of the listed entity are correct	Yes		<a href="https://www.suryodaybank.com/">https://www.suryodaybank.com/</a>

## Annexure II

### II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of ‘independence’ and/or ‘eligibility’	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	

4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

## Annexure II

### II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	

19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	

## Annexure II

### II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
23	Meeting of Risk Management Committee	21(3A)	Yes	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	Yes	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	

30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	
----	---	-------------------------	----	--

## Annexure II

### II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	NA	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	Yes	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided - Add Notes			

<b>Annexure II</b>		
1	Name of signatory	Geeta Krishnan
2	Designation	Company Secretary and Compliance Officer

<b>Annexure II</b>		
<b>III. Affirmations</b>		
<b>Sr</b>	<b>Particulars</b>	<b>Compliance status (Yes/No/NA)</b>
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
	Any other information to be provided	

<b>Annexure II</b>		
1	Name of signatory	Geeta Krishnan
2	Designation	Company Secretary and Compliance Officer

### Signatory Details

Name of signatory	Geeta Krishnan
Designation of person	Company Secretary and Compliance Officer
Place	Navi Mumbai
Date	15-04-2021