

SURYODAY SMALL FINANCE BANK LIMITED

BENEFICIAL OWNERS / CONTROLLING PERSONS DETAILS

(Applicable to Company, Trust, Partnership, LLP, AOP, BOI)				
For Office Use Only: Cust. ID :		Account No:		
Constitution type (tick wh	ichever is applicable) Company	Partnership / LLP / AOP / BOI	Trust	
Date: D / M / Y Y Y Y To, The Branch Manager SSFB Bank,Branch				
Name of Entity :				
List of all Trustees / Settlers / Beneficiaries Owner* / Controlling Persons*				
I / We the undersigned hereby declare that the following trustee, settler, natural person(s) are the Beneficial Owner (s)*/ Controlling Person(s)* in the captioned entity (directly or indirectly)				
	Trustee/Settler/Beneficiary Owner/ Controlling Person 1	Trustee/Settler/Beneficiary Owner/ Controlling Person 2	Trustee/Settler/Beneficiary Owner/ Controlling Person 3	Trustee/Settler/Beneficiary Owner/ Controlling Person 4
Name				
House/Building No & Street name				
City				
State				
Country				
PIN Code				
Date of Birth Nationality				
Designation^				
PAN#				
Passport No [#] (If PAN Not available)				
For			_(Name of Entity)	
Signature of Authorised Signatory				
Authorised signatory means: (i) Company Secretary or Director or any person authorized as per the resolution of board to sign on any documents/declaration related to account opening (ii) Partner or designated partner or any person as authorized as per resolution/letter mentioned on Partnership/LLP dedaration to sign on any documents/declaration related to account opening (iii) Partner or designated partner or any person as authorized as per resolution/declaration to sign on any documents/declaration related to TASC account opening (iii) Chairperson or secretary or any person as authorized as per resolution/letter to sign on any documents/declaration related to TASC account opening (iv) Any person as authorized as per resolution/letter to sign on any documents/declaration related to account opening				
Refer to the following instructions while filling details in the format above: a) For the columns marked with # : i. PAN Number to be provided for Resident Individuals. In absence of PAN, valid ID document to be submitted. If minor, then age proof to be provided. ii. Passport Number to be provided for Foreign National / NRI if not having PAN Number. b) Address mentioned should be of the Residence of the Individuals chronic state of the Individuals c) For columns marked with * - Designation (in case of companies) here shall mean Controlling Owners i.e. Shareholder, Promoter, Controller, etc. If Beneficial Owner is Senior Managing Official then Designation shall mean – MD, CEO, CFO, Whole-time Directors, Company Secretary, Such other officer as prescribed by Company d) If the space in one dedaration format is not sufficient to mention details of all Trustee/Settler/Beneficiary Owner/ Controlling Person, please use separate declaration (in bank format) and attach all together with Account Opening Form				

Rule 9 (1a) of the Prevention of Money Laundering Rules, 2005 requires that every banking company, and financial institution, as the case may be, shall identify the beneficial owner and take all reasonable steps to verify his identity. The beneficial owner for the purpose determined as under-

(a) where the determined as dute:—
(a) where the determined as dute:
(b) who where the determined as dute:
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(c) whore the purpose of this sub-clause—
(c) outrolling ownership interest; means ownership of or entitlement to more than twenty-five per cent. of shares or capital or profits of the company;
(c) "controlling ownership interest; means ownership of or entitlement to more than twenty-five per cent. of shares or capital or profits of the company;
(c) "controlling ownership interest; means ownership of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholders agreements or voting agreements;

(c) where the client is a partnership; (c) where the client is a partnership;

more than fifteen per cent. of the property or capital or profits of such association or body of individuals; (d) where no natural person is identified under (a) or (b) or (c) above, the beneficial owner is the relevant natural person who holds the position of senior managing official;

(e) where the client is a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with fifteen per cent. or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership; and

(f) where the dient or the owner of the controlling interest is a company listed on a stock exchange, or is a subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies.