

**General information about company**

Scrip code	543279
NSE Symbol	SURYODAY
MSEI Symbol	
ISIN	INE428Q01011
Name of the company	SURYODAY SMALL FINANCE BANK LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

**Declaration**

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	4	29734732			29734732	28.02	29734732		29734732	28.02
(B)	Public	124760	76396094			76396094	71.98	76396094		76396094	71.98
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	124764	106130826			106130826	100	106130826		106130826	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				28.02	29734732	100	11290000	37.97	29734732
(B)	Public				71.98	29607257	38.75			76392189
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	59341989	55.91	11290000	10.64	106126921

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	4	29734732			29734732	28.02	29734732		29734732	28.02
Sub-Total (A)(1)		4	29734732			29734732	28.02	29734732		29734732	28.02
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		4	29734732			29734732	28.02	29734732		29734732	28.02
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	3	5502638			5502638	5.18	5502638		5502638	5.18
(b)	Venture Capital Funds	2	5845069			5845069	5.51	5845069		5845069	5.51
(c)	Alternate Investment Funds	4	6352665			6352665	5.99	6352665		6352665	5.99
(d)	Foreign Venture Capital Investors	2	5441414			5441414	5.13	5441414		5441414	5.13
(e)	Foreign Portfolio Investors	5	1699080			1699080	1.6	1699080		1699080	1.6
(f)	Financial Institutions/ Banks	2	1155465			1155465	1.09	1155465		1155465	1.09
(g)	Insurance Companies	6	10716078			10716078	10.1	10716078		10716078	10.1
Sub-Total (B)(1)		24	36712409			36712409	34.59	36712409		36712409	34.59
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	123718	9498060			9498060	8.95	9498060		9498060	8.95
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	53	4119681			4119681	3.88	4119681		4119681	3.88
(b)	NBFCs registered with RBI	2	1080343			1080343	1.02	1080343		1080343	1.02
(e)	Any Other (specify)	963	24985601			24985601	23.54	24985601		24985601	23.54
Sub-Total (B)(3)		124736	39683685			39683685	37.39	39683685		39683685	37.39
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		124760	76396094			76396094	71.98	76396094		76396094	71.98
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		124764	106130826			106130826	100	106130826		106130826	100
Total (A+B+C)		124764	106130826			106130826	100	106130826		106130826	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				28.02	29734732	100	11290000	37.97	29734732
Sub-Total (A)(1)				28.02	29734732	100	11290000	37.97	29734732
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				28.02	29734732	100	11290000	37.97	29734732
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				5.18	3861568	70.18			5502638
(b)				5.51	0	0			5845069
(c)				5.99	0	0			6352665
(d)				5.13	0	0			5441414
(e)				1.6	1475493	86.84			1699080
(f)				1.09	1056742	91.46			1155465
(g)				10.1	8486575	79.19			10716078
Sub-Total (B)(1)				34.59	14880378	40.53			36712409
(3)	Non-institutions								
(a(i))				8.95	2434748	25.63			9494155
(a(ii))				3.88	4119681	100			4119681
(b)				1.02	1080343	100			1080343
(e)				23.54	7092107	28.38			24985601
Sub-Total (B)(3)				37.39	14726879	37.11			39679780
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				71.98	29607257	38.75			76392189
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100	59341989	55.91			106126921
Total (A+B+C)				100	59341989	55.91	11290000	10.64	106126921
Disclosure of notes on shareholding pattern								Textual Information(1)	

**Text Block**

Textual Information()

Shareholding as on 31 March 2021

Individuals/Hindu undivided Family					
Searial No.	1	2	3	4	
Name of the Shareholders (I)	P S JAGDISH	GEALGEO V ALANKARA	P SURENDRA PAI	BASKAR BABU RAMACHANDRAN	Click here to go back
PAN (II)	AABPJ0532B	AAHPA4550G	ABPPP1301H	AGEPR3388L	Total
No. of fully paid up equity shares held (IV)	3323378	544561	13523215	12343578	29734732
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3323378	544561	13523215	12343578	29734732
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.13	0.51	12.74	11.63	28.02
Number of Voting Rights held in each class of securities (IX)					
Class eg:X	3323378	544561	13523215	12343578	29734732
Class eg:y					
Total	3323378	544561	13523215	12343578	29734732
Total as a % of Total Voting rights	3.13	0.51	12.74	11.63	28.02
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	3.13	0.51	12.74	11.63	28.02
Number of Locked in shares (XII)					
No. (a)	3323378	544561	13523215	12343578	29734732
As a % of total Shares held (b)	100	100	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)	0	0	0	11290000	11290000
As a % of total Shares held (b)	0	0	0	91.46	37.97
Number of equity shares held in dematerialized form (XIV)	3323378	544561	13523215	12343578	29734732
Reason for not providing PAN					
Reason for not providing PAN					
Shareholder type	Promoter	Promoter	Promoter	Promoter	

<b>Mutual Funds</b>		
Searial No.	1	
Name of the Shareholders (I)	AXIS MUTUAL FUND TRUSTEE	<a href="#">Click here to go back</a>
PAN (II)	AACTA5925A	Total
No. of fully paid up equity shares held (IV)	3943594	3943594
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3943594	3943594
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.72	3.72
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	3943594	3943594
Class eg:y		
Total	3943594	3943594
Total as a % of Total Voting rights	3.72	3.72
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.72	3.72
<b>Number of Locked in shares (XII)</b>		
No. (a)	2794838	2794838
As a % of total Shares held (b)	70.87	70.87
Number of equity shares held in dematerialized form (XIV)	3943594	3943594
<b>Reason for not providing PAN</b>		
Reason for not providing PAN		

<b>Venture Capital Funds</b>			
Searial No.	1	2	
Name of the Shareholders (I)	TVS SHRIRAM GROWTH FUND	ASK PRAVI PRIVATE EQUITY OPPORTUNITIES FUND	<a href="#">Click here to go back</a>
PAN (II)	AABTT5582H	AADTA4187B	Total
No. of fully paid up equity shares held (IV)	2896098	2948971	5845069
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2896098	2948971	5845069
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.73	2.78	5.51
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	2896098	2948971	5845069
Class eg:y			
Total	2896098	2948971	5845069
Total as a % of Total Voting rights	2.73	2.78	5.51
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.73	2.78	5.51
<b>Number of Locked in shares (XII)</b>			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in dematerialized form (XIV)	2896098	2948971	5845069
<b>Reason for not providing PAN</b>			
Reason for not providing PAN			

<b>Alternate Investment Funds</b>				
Searial No.	1	2	3	
Name of the Shareholders (I)	LOK CAPITAL GROWTH FUND	JHELMUM INVESTMENT FUND I	TVS SHRIRAM GROWTH FUND 3	<a href="#">Click here to go back</a>
PAN (II)	AABTL4074C	AACTJ3992J	AADTT1656Q	Total
No. of fully paid up equity shares held (IV)	2803331	1605012	1750595	6158938
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2803331	1605012	1750595	6158938
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.64	1.51	1.65	5.8
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	2803331	1605012	1750595	6158938
Class eg:y				
Total	2803331	1605012	1750595	6158938
Total as a % of Total Voting rights	2.64	1.51	1.65	5.8
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.64	1.51	1.65	5.8
<b>Number of Locked in shares (XII)</b>				
No. (a)	0	0	0	0
As a % of total Shares held (b)	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	2803331	1605012	1750595	6158938
<b>Reason for not providing PAN</b>				
Reason for not providing PAN				

<b>Foreign Venture Capital Investors</b>			
Searial No.	1	2	
Name of the Shareholders (I)	EVOLVENCE INDIA FUND II LTD	AMERICORP VENTURES LTD.	<a href="#">Click here to go back</a>
PAN (II)	AADCE9934H	AAFCA9006Q	Total
No. of fully paid up equity shares held (IV)	3669656	1771758	5441414
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3669656	1771758	5441414
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.46	1.67	5.13
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	3669656	1771758	5441414
Class eg:y			
Total	3669656	1771758	5441414
Total as a % of Total Voting rights	3.46	1.67	5.13
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.46	1.67	5.13
<b>Number of Locked in shares (XII)</b>			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in dematerialized form (XIV)	3669656	1771758	5441414
<b>Reason for not providing PAN</b>			
Reason for not providing PAN			

<b>Insurance Companies</b>				
Searial No.	1	2	3	
Name of the Shareholders (I)	HDFC LIFE INSURANCE COMPANY LIMITED	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	SBI LIFE INSURANCE CO. LTD	<a href="#">Click here to go back</a>
PAN (II)	AAACH8755L	AAACI7351P	AAFCS2530P	Total
No. of fully paid up equity shares held (IV)	3873057	1391611	3659162	8923830
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3873057	1391611	3659162	8923830
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.65	1.31	3.45	8.41
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	3873057	1391611	3659162	8923830
Class eg:y				
Total	3873057	1391611	3659162	8923830
Total as a % of Total Voting rights	3.65	1.31	3.45	8.41
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.65	1.31	3.45	8.41
<b>Number of Locked in shares (XII)</b>				
No. (a)	3104328	738283	3659162	7501773
As a % of total Shares held (b)	80.15	53.05	100	84.06
Number of equity shares held in dematerialized form (XIV)	3873057	1391611	3659162	8923830
<b>Reason for not providing PAN</b>				
Reason for not providing PAN				

<b>NBFCs registered with RBI</b>		
Searial No.	1	
Name of the Shareholders (I)	KIRAN VYAPAR LIMITED	<a href="#">Click here to go back</a>
PAN (II)	AABCK4953A	Total
No. of fully paid up equity shares held (IV)	1080343	1080343
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1080343	1080343
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.02	1.02
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	1080343	1080343
Class eg:y		
Total	1080343	1080343
Total as a % of Total Voting rights	1.02	1.02
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.02	1.02
<b>Number of Locked in shares (XII)</b>		
No. (a)	1080343	1080343
As a % of total Shares held (b)	100	100
Number of equity shares held in dematerialized form (XIV)	1080343	1080343
<b>Reason for not providing PAN</b>		
Reason for not providing PAN		

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence