

1. Name of Listed Entity: Suryoday Small Finance Bank Limited
2. Scrip Code/Name of Scrip/Class of Security: SURYODAY
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2022
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid-up equity shares (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity in dematerialized form (XIV)			
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)		
								Class eg: X	Clas s	Total										
																			s	e
A	Promoter & Promoter Group	8	29734732	0	0	29734732	28.01	29734732	0	29734732	28.01	0	28.01	18259732	61.41	11290000	37.97	29734732		
B	Public	95451	76424017	0	0	76424017	71.99	76424017	0	76424017	71.99	0	71.99	3104328	4.06			76421763		
C	Non Promoter-Non Public	0	0	0	0	0		0	0	0	0	0	0	0	0			0		
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0	0	0			0		
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0		
	Total	95459	106158749	0	0	106158749	100	106158749	0	106158749	100	0	100	21364060	20.12	11290000	10.64	106156495		



	Foreign Individuals)																		
b	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	8	29734732	0	0	29734732	28.01	29734732	0	29734732	28.01	0	28.01	18259732	61.41	11290000	37.97	29734732	

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class X	Class Y	Total								
l	Institutions (Domestic)	0	0	0	0	0	0	0	0	0	0	0	0				0	
a	Mutual Funds	2	5190435	0	0	5190435	4.89	5190435	0	5190435	4.89	0	0				5190435	
	AXIS MUTUAL FUND TRUSTEE LIMITED	1	4841291	0	0	4841291	4.56	4841291	0	4841291	4.56	0	0				4841291	
b	Venture Capital Funds	1	2948971	0	0	2948971	2.78	2948971	0	2948971	2.78	0	0				2948971	
	ASK PRAVI PRIVATE EQUITY OPPORTUNITIES FUND	1	2948971	0	0	2948971	2.78	2948971	0	2948971	2.78	0	0				2948971	
c	Alternate Investment Funds	3	6073619	0	0	6073619	5.72	6073619	0	6073619	5.72	0	0				6073619	
	LOK CAPITAL GROWTH FUND	1	2803331	0	0	2803331	2.64	2803331	0	2803331	2.64	0	0				2803331	
	JHELM INVESTMENT	1	1605012	0	0	1605012	1.51	1605012	0	1605012	1.51	0	0				1605012	

	FUND I																	
	TVS SHRIRAM GROWTH FUND 3	1	1665276	0	0	1665276	1.57	1665276	0	1665276	1.57	0	1.57	0	0			1665276
d	Banks	2	1056791	0	0	1056791	1	1056791	0	1056791	1	0	1	0	0			1056791
e	Insurance Companies	4	8633398	0	0	8633398	8.13	8633398	0	8633398	8.13	0	8.13	3104328	35.96			8633398
	HDFC LIFE INSURANCE COMPANY LIMITED	1	3104328	0	0	3104328	2.92	3104328	0	3104328	2.92	0	2.92	3104328	100			3104328
	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	1	1391611	0	0	1391611	1.31	1391611	0	1391611	1.31	0	1.31	0	0			1391611
	SBI LIFE INSURANCE CO. LTD	1	3645058	0	0	3645058	3.43	3645058	0	3645058	3.43	0	3.43	0	0			3645058
f	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
g	Asset reconstruction companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
h	Sovereign Wealth Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	NBFCs registered with RBI	1	1450	0	0	1450	0	1450	0	1450	0	0	0	0	0			1450
j	Other Financial Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
k	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(1)	13	23904664	0	0	23904664	22.52	23904664	0	23904664	22.52	0	22.52	3104328	12.99			23904664
2	Institutions (Foreign)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Foreign Direct Investment	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Sovereign Wealth Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Portfolio Investors Category I	3	4170000	0	0	4170000	3.93	4170000	0	4170000	3.93	0	3.93	0	0			4170000
	TROO CAPITAL LTD	1	4000000	0	0	4000000	3.77	4000000	0	4000000	3.77	0	3.77	0	0			4000000
e	Foreign Portfolio	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

	Investors Category II																	
f	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
g	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)	3	4170000	0	0	4170000	3.93	4170000	0	4170000	3.93	0	3.93	0	0			4170000
3	Central Government / State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Central Government / President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	State Government / Governor	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
4	Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Associate companies / Subsidiaries	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	Directors and their relatives (excluding independent directors and nominee directors)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Key Managerial Personnel	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Relatives of promoters (other than "Immediate Relatives" of promoters disclosed under "Promoter and Promoter Group" category)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Trusts where any person belonging to "Promoter and	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

	Promoter Group" category is "trustee", "beneficiary", or "author of the trust"																	
f	Investor Education and Protection Fund (IEPF)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
g	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	91744	13498737	0	0	13498737	12.72	13498737	0	13498737	12.72	0	12.72	0	0			13496483
h	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	82	5139880	0	0	5139880	4.84	5139880	0	5139880	4.84	0	4.84	0	0			5139880
i	Non Resident Indians (NRIs)	612	398942	0	0	398942	0.38	398942	0	398942	0.38	0	0.38	0	0			398942
j	Foreign Nationals	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
k	Foreign Companies	7	22272809	0	0	22272809	20.98	22272809	0	22272809	20.98	0	20.98	0	0			22272809
	DEG-DEUTSCHE INVESTITIONS - UND ENTWICKLUNGSGESELLS	1	3508674	0	0	3508674	3.31	3508674	0	3508674	3.31	0	3.31	0	0			3508674
	EVOLVENCE INDIA FUND II LTD	1	3669656	0	0	3669656	3.46	3669656	0	3669656	3.46	0	3.46	0	0			3669656
	TEACHERS INSURANCE AND ANNUITY ASSOCIATION OF AMERICA	1	3475500	0	0	3475500	3.27	3475500	0	3475500	3.27	0	3.27	0	0			3475500
	DWM (INTERNATIONAL) MAURITIUS LTD	1	3358020	0	0	3358020	3.16	3358020	0	3358020	3.16	0	3.16	0	0			3358020
	GAJA CAPITAL FUND II LIMITED	1	3672671	0	0	3672671	3.46	3672671	0	3672671	3.46	0	3.46	0	0			3672671
	RESPONSABILITY PARTICIPATIONS MAURITIUS	1	4071693	0	0	4071693	3.84	4071693	0	4071693	3.84	0	3.84	0	0			4071693

l	Bodies Corporate	143	5930067	0	0	5930067	5.59	5930067	0	5930067	5.59	0	5.59	0	0		5930067
	HDFC HOLDINGS LIMITED	1	1584930	0	0	1584930	1.49	1584930	0	1584930	1.49	0	1.49	0	0		1584930
	KIRAN VYAPAR LTD	1	1180338	0	0	1180338	1.11	1180338	0	1180338	1.11	0	1.11	0	0		1180338
m	Any Other (specify)	2847	1108918	0	0	1108918	1.04	1108918	0	1108918	1.04	0	1.04	0	0		1108918
	Clearing Members	46	79332	0	0	79332	0.07	79332	0	79332	0.07	0	0.07	0	0		79332
	HUF	2800	1027586	0	0	1027586	0.97	1027586	0	1027586	0.97	0	0.97	0	0		1027586
	Trusts	1	2000	0	0	2000	0	2000	0	2000	0	0	0	0	0		2000
	Sub-Total (B)(4)	95435	48349353	0	0	48349353	45.54	48349353	0	48349353	45.54	0	45.54	0	0		48347099
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)	95451	76424017	0	0	76424017	71.99	76424017	0	76424017	71.99	0	71.99	3104328	4.06		76421763





Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
			Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %