

SURYODAY SMALL FINANCE BANK LIMITED

SHAREHOLDING PATTERN UNDER REGULATION 31 OF
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 AS ON SEPTEMBER 30, 2021



SURYODAY

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1. Name of Listed Entity: Suryoday Small Finance Bank Limited
2. Scrip Code/Name of Scrip/Class of Security: SURYODAY
3. Share Holding Pattern Filed under: Regulation 31 (1) (b)
4. Share Holding Pattern as on 30-Sep-2021
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information: -

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid-up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares Calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying outstanding convertible Securities (including voting rights)	Share holding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialised form	
								No of Voting Rights					No. (a)	No. (b)	As a % of total Shares held (b)	As a % of total Shares held (b)		
								Class eg: X	Class eg: y	Total								
A	Promoter & Promoter Group	5	29734732	0	0	29734732	28.01	29734732	0	29734732	28.01	0	28.01	29734732	100	11290000	37.97	29734732
B	Public	104031	76405194	0	0	76405194	71.99	76405194	0	76405194	71.99	0	71.99	38504540	50.4			76393677
C	Non-Promoter-Non Public	0	0	0	0	0		0	0	0	0	0	0	0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0	0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0		0	0	0	0	0	0	0	0			0
	Total	104036	106139926	0	0	106139926	100	106139926	0	106139926	100	0	100	68239272	64.29	11290000	10.64	106128409

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	Individuals/ Foreign Individuals)																		
b	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	5	29734732	0	0	29734732	28.01	29734732	0	29734732	28.01	0	28.01	29734732	100	11290000	37.97	29734732	

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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held \underline{VII} \equiv $\underline{IV+V+VI}$	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting	No. (a)	As a % of total Shares held (a)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		3	6239684	0	0	6239684	5.88	6239684	0	6239684	5.88	0	5.88	2056555	32.96			6239684
	AXIS MUTUAL FUND TRUSTEE LIMITED A/C AXIS MUTUAL FUND A/C AXIS SMALL CAP FUND	AACTA5925A	1	4841291	0	0	4841291	4.56	4841291	0	4841291	4.56	0	4.56	2056555	42.48			4841291
b	Venture Capital Funds		1	2948971	0	0	2948971	2.78	2948971	0	2948971	2.78	0	2.78	0	0			2948971
	ASK PRAVI PRIVATE EQUITY OPPORTUNITIES FUND	AADTA4187B	1	2948971	0	0	2948971	2.78	2948971	0	2948971	2.78	0	2.78	0	0			2948971
c	Alternate Investment Funds		4	6352665	0	0	6352665	5.99	6352665	0	6352665	5.99	0	5.99	0	0			6352665
	LOK CAPITAL GROWTH FUND	AABTL4074C	1	2803331	0	0	2803331	2.64	2803331	0	2803331	2.64	0	2.64	0	0			2803331
	JHELM INVESTMENT FUND I	AACTJ3992J	1	1605012	0	0	1605012	1.51	1605012	0	1605012	1.51	0	1.51	0	0			1605012
	TVS SHRIRAM GROWTH FUND 3	AADTT1656Q	1	1750595	0	0	1750595	1.65	1750595	0	1750595	1.65	0	1.65	0	0			1750595
d	Foreign Venture Capital Investors		2	5441414	0	0	5441414	5.13	5441414	0	5441414	5.13	0	5.13	0	0			5441414
	EVOLVENCE INDIA FUND II LTD	AADCE9934H	1	3669656	0	0	3669656	3.46	3669656	0	3669656	3.46	0	3.46	0	0			3669656
	AMERICORP VENTURES LTD.	AAFCA9006Q	1	1771758	0	0	1771758	1.67	1771758	0	1771758	1.67	0	1.67	0	0			1771758
e	Foreign Portfolio Investors		4	5124090	0	0	5124090	4.83	5124090	0	5124090	4.83	0	4.83	0	0			5124090
	KUBER INDIA FUND	AAGCC1031Q	1	4250000	0	0	4250000	4	4250000	0	4250000	4	0	4	0	0			4250000

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f	Financial Institutions/ Banks		1	1056742	0	0	1056742	1	1056742	0	1056742	1	0	1	1056742	100		1056742
g	Insurance Companies		5	9569931	0	0	9569931	9.02	9569931	0	9569931	9.02	0	9.02	6189161	64.67		9569931
	HDFC LIFE INSURANCE COMPANY LIMITED	AAACH8755L	1	3719311	0	0	3719311	3.5	3719311	0	3719311	3.5	0	3.5	3104328	83.47		3719311
	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	AAACI7351P	1	1391611	0	0	1391611	1.31	1391611	0	1391611	1.31	0	1.31	0	0		1391611
	SBI LIFE INSURANCE CO. LTD	AAFCS2530P	1	3659162	0	0	3659162	3.45	3659162	0	3659162	3.45	0	3.45	3084833	84.3		3659162
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(1)		20	36733497	0	0	36733497	34.61	36733497	0	36733497	34.61	0	34.61	9302458	25.32		36733497
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
a	Individuals -		103226	15651613	0	0	15651613	14.75	15651613	0	15651613	14.75	0	14.75	5917987	37.81		15640096
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		103164	11194365	0	0	11194365	10.55	11194365	0	11194365	10.55	0	10.55	2391748	21.37		11182848
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		62	4457248	0	0	4457248	4.2	4457248	0	4457248	4.2	0	4.2	3526239	79.11		4457248
b	NBFCs registered with RBI		2	1080343	0	0	1080343	1.02	1080343	0	1080343	1.02	0	1.02	1080343	100		1080343
	KIRAN VYAPAR LIMITED	AABCK4953A	1	1080338	0	0	1080338	1.02	1080338	0	1080338	1.02	0	1.02	1080338	100		1080338
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
e	Any Other (specify)		783	22939741	0	0	22939741	21.61	22939741	0	22939741	21.61	0	21.61	22203752	96.79		22939741
	Bodies Corporate		6	19671488	0	0	19671488	18.53	19671488	0	19671488	18.53	0	18.53	19671488	600		19671488
	DEG-DEUTSCHE INVESTITIONS - UND ENTWICKLUNGSGE SELLSCHAFT MBH	AAACD1538R	1	3508674	0	0	3508674	3.31	3508674	0	3508674	3.31	0	3.31	3508674	100		3508674
	HDFC HOLDINGS LIMITED	AAACH8757J	1	1584930	0	0	1584930	1.49	1584930	0	1584930	1.49	0	1.49	1584930	100		1584930

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TEACHERS INSURANCE AND ANNUITY ASSOCIATION OF AMERICA	AADCT6080D	1	3475500	0	0	3475500	3.27	3475500	0	3475500	3.27	0	3.27	3475500	100			3475500
DWM (INTERNATIONAL) MAURITIUS LTD	AAFCD0301D	1	3358020	0	0	3358020	3.16	3358020	0	3358020	3.16	0	3.16	3358020	100			3358020
GAJA CAPITAL FUND II LIMITED	AAFCD9301G	1	3672671	0	0	3672671	3.46	3672671	0	3672671	3.46	0	3.46	3672671	100			3672671
RESPONSABILITY PARTICIPATIONS MAURITIUS	AAHCR8916Q	1	4071693	0	0	4071693	3.84	4071693	0	4071693	3.84	0	3.84	4071693	100			4071693
Clearing Members		59	47878	0	0	47878	0.05	47878	0	47878	0.05	0	0.05	0	0			47878
Non-Resident Indian (NRI)		581	332034	0	0	332034	0.31	332034	0	332034	0.31	0	0.31	199743	60.16			332034
Trusts		1	100	0	0	100	0	100	0	100	0	0	0	0	0			100
Sub-Total (B)(3)		104011	39671697	0	0	39671697	37.38	39671697	0	39671697	37.38	0	37.38	29202082	73.61			39660180
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		104031	76405194	0	0	76405194	71.99	76405194	0	76405194	71.99	0	71.99	38504540	50.4			76393677

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Table IV - Statement showing shareholding pattern of the Non-Promoter- Non-Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculate as per SCRR, 1957 (VIII) = (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)
								No of Voting Rights Class X	No of Voting Rights Class Y	Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Non-Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
				Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
-									

Table II- Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held
-	

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Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held
-	

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %
-			