

SHAREHOLDING PATTERN UNDER REGULATION 31 OF

SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 AS ON SEPTEMBER 30, 2021

- 1. Name of Listed Entity: Suryoday Small Finance Bank Limited
- 2. Scrip Code/Name of Scrip/Class of Security: SURYODAY
- 3. Share Holding Pattern Filed under: Regulation 31 (1) (b)
- 4. Share Holding Pattern as on 30-Sep-2021
- 5. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information: -

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid-up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	No	



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Table I - Summary Statement holding of specified securities

ry (I)	Category of shareholder (11)	Nos. of shareh olders (III)	No. of fully paid up equity shares held (IV)	N o. of P ar tly pa id - up eq ui ty sh ar es he Id (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) =(IV)+(V)+ (VI) -	Shareholding as a % of total no. of shares Calculated as per SCR, 1957) (VIII) As a % of (A+B+C2)	Number each	e of Vi	oting Rights held	d in	No . of Sh are s Un der lyi ng out sta ndi ng co nve rtib le Sec uri ties (in clu din g	Share holding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of 1 in shares	Locked (XII)	Numbo Shares p or other encumt (XII	ledged wise ered	Number of equity shares held in demateri alised form
Category (1)								No of Rig	Voi hts	ting	_			No. (a)		No. (a)	(4	
								Class eg: X	Class eg:y	Total	Total as a % of $(A+B+C)$				As a % of total Shar es held (b)	Ň	As a % of total Share s held (b)	
A	Promoter & Promoter Group	5	29734732	0	0	29734732	28.01	29734732	0	29734732	28.01	0	28.01	29734732	100	11290000	37.97	29734732
E	Public	104031	76405194	0	0	76405194	71.99	76405194	0	76405194	71.99	0	71.99	38504540	50.4			76393677
C	Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
	1 Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C	2 Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	104036	106139926	0	0	106139926	100	106139926	0	106139926	100	0	100	68239272	64.29	11290000	10.64	106128409

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name	PAN	No.	No. of	Partly	Nos. of	Total	Sharehol	Number of V	oting Rig	hts held in		No. of	Shareholdin	Number of		Number of		Number of
	of the	(11)	share	fully	paid-up	shares	nos.	ding %	each cl	ass of se			Shares	g , as a %	Locked in		Shares		equity
	Shareholders		olde	paid	equity	underlying	shares	calculate			(IX)		Underlyin	assuming	shares		pledged or		shares held
	(1)		(111)	ир	shares	Depository	held	d as per					Outstandin	full	(XII)		otherwise		in
				equity	held	Receipts	(VII =	SCRR,					convertibl	conversion	ļ		encumbered		dematerializ
				share	(V)	(VI)	IV+V+VI)	1957					securities	of			(XI	I)	ed form
				s held					No of Voting	, Rights		Total as	(includin	convertible	No. (a)	As a	No. (a)	As a	(XIV)
				(IV)				As a % of				a % of	Warrants	securities (]	% of		% of	
								(A+B+C2)				Total	(X)	as a]	total		total	
								(VIII)	Class X	Clas	Tot al	Voting		percentage]	Shar		share s	
									Cluss A	s	101 11	rights	1 [of diluted]	es held		held (b)	
										y y		-	1 [share]	(b)			
										I				capital)	1	(-)			
													1 [(XI) =]				
														(VII)+(X) as a	1				
													1 [% of]				
														A+B+C2]				
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
-	Individuals/Hindu		4	28901398	0	0	28901398	27.23	28901398	0	28901398	27.23	0	27.23	28901398	100	11290000	39.06	28901398
а	undivided Family		4		-					-			-						
	PERUVAMBA	AABPJ0532B	1	3531711	0	0	3531711	3.33	3531711	0	3531711	3.33	0	3.33	3531711	100	0	0	3531711
	SUBRAMANIAM	-																	
	JAGDISH																		
																100			
	GEALGEO V	AAHPA4550G	1	544561	0	0	544561	0.51	544561	0	544561	0.51	0	0.51	544561	100	0	0	544561
	ALANKARA																		
	P SURENDRA	ABPPP1301H	1	13523215	0	0	13523215	12.74	13523215	0	13523215	12.74	0	12.74	13523215	100	0	0	13523215
	PAI					-													
	BASKAR BABU	AGEPR3388L	1	11301911	0	0	11301911	10.65	11301911	0	11301911	10.65	0	10.65	11301911	100	11290000	99.89	11301911
	RAMACHANDR																		
	AN																		
1.	Central		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b			Ū	v	0		U U	0	Ŭ	Ū	Ŭ	0		0		0	Ŭ	Ū	Ū
	Government/ State																		
	Government(s)																		
с	Financial		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C	Institutions/ Banks																		
1			1	833334	0	0	833334	0.79	833334	0	833334	0.79	0	0.79	833334	100	0	0	833334
d	Any Other		1	033334	0	0	633334	0.79	633334	0	655554	0.79	0	0.79	033334	100	0	0	655554
	(specify)																		
	Other		1	833334	0	0	833334	0.79	833334	0	833334	0.79	0	0.79	833334	100	0	0	833334
	PERUVAMBA	AACCE2497C	1	833334	0	0	833334	0.79	833334	0	833334	0.79	0	0.79	833334	100	0	0	833334
		1.00021070	1	555551	v		000001	0.15	555551	v	000001	0.75		0.72	000001	100	Ŭ	, v	000001
	ESTATES																		
	PRIVATE																		
	LIMITED																		
	Sub-Total (A)(1)		5	29734732	0	0	29734732	28.01	29734732	0	29734732	28.01	0	28.01	29734732	100	11290000		29734732
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
а	Individuals (Non-		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
u	Resident																		
	restuent																		



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	Individuals/ Foreign Individuals)																	
b	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	5	29734732	0	0	29734732	28.01	29734732	0	29734732	28.01	0	28.01	29734732	100	11290000	37.97	29734732



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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (1)	PAN (II)	Nos. of share h older (III)	No. of fully paid up equity share s held (IV)	Pa rtly pai d- up eq uit	Nos. of shares underl ying Deposit ory Receipt	Total nos. shares held <u>VII</u> = <u>IV+V+V</u> I	Sharehol ding % calculate d as per SCRR, 1957 As a % of (A+B+C2)	Number of V	of sec	ghts held in each urities X)	e class	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholdin g, as a % assuming full conversion of convertible securities (as a	Number Locked shares (2	in	Share or o enci	mber of s pledged therwise umbered XIII)	Number of equity shares held in dematerializ ed form (XIV)
					y sha res hel d (V)	s (VI)		VIII	No of Voting	g Rights Cla s y Y	Tot al	To tal as of To tal Vo tin		percentage of diluted share capital) (XI)	No. (a)	As a % of tota I Sha r es hel d	No. (No t ap pli ca ble) (a)	As a % of total share s held (Not applic able) (b)	
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Mutual Funds/		3	6239684	0	0	6239684	5.88	6239684	0	6239684	5.88	0	5.88	2056555	32.96			6239684
	FUND TRUSTEE LIMITED A/C AXIS MUTUAL FUND A/C AXIS SMALL CAP FUND	AACTA5925A	1	4841291	0	0	4841291	4.56	4841291	0	4841291	4.56 2.78	0	4.56	2056555				4841291
b	Venture Capital Funds	4 A DT 4 4107D	1		0								0			0			
	ASK PRAVI PRIVATE EQUITY OPPORTUNITIES FUND	AADTA4187B	1	2948971	0	0	2948971	2.78	2948971	0	2948971	2.78	0	2.78	0	0			2948971
c	Alternate Investment Funds		4	6352665	0	0	6352665	5.99	6352665	0	6352665	5.99	0	5.99	0	0			6352665
	LOK CAPITAL GROWTH FUND	AABTL4074C	1	2803331	0	0	2803331	2.64	2803331	0	2803331	2.64	0	2.64	0	0			2803331
	JHELUM INVESTMENT FUND I	AACTJ3992J	1	1605012	0	0	1605012	1.51	1605012	0	1605012	1.51	0	1.51	0	0			1605012
	TVS SHRIRAM GROWTH FUND 3	AADTT1656Q	1	1750595	0	0	1750595	1.65	1750595	0	1750595	1.65	0	1.65	0	0			1750595
d	Foreign Venture Capital Investors		2	5441414	0	0	5441414	5.13	5441414	0	5441414	5.13	0	5.13	0	0			5441414
	EVOLVENCE INDIA FUND II LTD	AADCE9934H	1	3669656	0	0	3669656	3.46	3669656	0	3669656	3.46	0	3.46	0	0			3669656
	AMERICORP VENTURES LTD.	AAFCA9006Q	1	1771758	0	0	1771758	1.67	1771758	0	1771758	1.67	0	1.67	0	0			1771758
e	Foreign Portfolio Investors		4	5124090	0	0	5124090	4.83	5124090	0	5124090	4.83	0	4.83	0	0			5124090
	KUBER INDIA FUND	AAGCC1031Q	1	4250000	0	0	4250000	4	4250000	0	4250000	4	0	4	0	0			4250000



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f	Financial Institutions/ Banks		1	1056742	0	0	1056742	1	1056742	0	1056742	1	0	1	1056742	100	1056742
g			5	9569931	0	0	9569931	9.02	9569931	0	9569931	9.02	0	9.02	6189161	64.67	9569931
		AAACH8755L	1	3719311	0	0	3719311	3.5	3719311	0	3719311	3.5	0	3.5	3104328		3719311
	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED		1	1391611	0	0	1391611	1.31	1391611	0	1391611	1.31	0	1.31	0	0	1391611
	SBI LIFE INSURANCE CO. LTD	AAFCS2530P	1	3659162	0	0	3659162	3.45	3659162	0	3659162	3.45	0	3.45	3084833	84.3	3659162
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(1)		20	36733497	0	0	36733497	34.61	36733497	0	36733497	34.61	0	34.61	9302458	25.32	36733497
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -			15651613	0	0	15651613	14.75	15651613	0	15651613	14.75	0	14.75	5917987		15640096
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		103164	11194365	0	0	11194365	10.55	11194365	0	11194365	10.55	0	10.55	2391748		11182848
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		62	4457248	0	0	4457248	4.2	4457248	0	4457248	4.2	0	4.2	3526239	79.11	4457248
b	NBFCs registered with RBI		2	1080343	0	0	1080343	1.02	1080343	0	1080343	1.02	0	1.02	1080343	100	1080343
	KIRAN VYAPAR LIMITED	AABCK4953A	1	1080338	0	0	1080338	1.02	1080338	0	1080338	1.02	0	1.02	1080338	100	1080338
с	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		783	22939741	0	0	22939741	21.61	22939741	0	22939741	21.61	0	21.61	22203752	96.79	22939741
	Bodies Corporate		6	19671488	0	0	19671488	18.53	19671488	0	19671488	18.53	0	18.53	19671488	8 600	19671488
	-	AAACD1538R	1	3508674	0	0	3508674	3.31	3508674	0	3508674	3.31	0	3.31	3508674	100	3508674
	HDFC HOLDINGS LIMITED	AAACH8757J	1	1584930	0	0	1584930	1.49	1584930	0	1584930	1.49	0	1.49	1584930	100	1584930



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TE A CHIED C	AADCT6080D	1	2475500	0	0	2475500	3.27	2475500	0	2475500	3.27	0	2.27	2 475 500	100	24755
TEACHERS	AADC 16080D	1	3475500	0	0	3475500	3.27	3475500	0	3475500	3.27	0	3.27	3475500	100	34755
INSURANCE AND																
ANNUITY ASSOCIATION OF																
AMERICA																
DWM	AAFCD0301D	1	3358020	0	0	3358020	3.16	3358020	0	3358020	3.16	0	3.16	3358020	100	33580
(INTERNATIONAL)				÷								-				
MAURITIUS LTD																
GAJA CAPITAL	AAFCG9301G	1	3672671	0	0	3672671	3.46	3672671	0	3672671	3.46	0	3.46	3672671	100	36726
FUND II LIMITED																
RESPONSABILITY	AAHCR8916Q	1	4071693	0	0	4071693	3.84	4071693	0	4071693	3.84	0	3.84	4071693	100	40716
PARTICIPATIONS																
MAURITIUS																
Clearing Members		59	47878	0	0	47878	0.05	47878	0	47878	0.05	0	0.05	0	0	4787
Non-Resident Indian		581	332034	0	0	332034	0.31	332034	0	332034	0.31	0	0.31	199743	60.16	33203
(NRI)																
Trusts		1	100	0	0	100	0	100	0	100	0	0	0	0	0	100
Sub-Total (B)(3)		104011	39671697	0	0	39671697	37.38	39671697	0	39671697	37.38	0	37.38	29202082	73.61	396601
Total Public		104031	76405194	0	0	76405194	71.99	76405194	0	76405194	71.99	0	71.99	38504540	50.4	763936
Shareholding (B)=																
(B)(1)+(B)(2)+(B)(3)																



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Table IV - Statement showing shareholding pattern of the Non-Promoter- Non-Public shareholder

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Number	of Voting Rig	ghts held i	in	No. of	Total	Numbe	er of	Num	ber of	Number of
	of the	<i>(II)</i>	shareh	fully	paid-up	shares	shares	ding %	eau	ch class of see	curities		Shares	shareholdin	Locke	d in	Sh	ares	equity
	Shareholders		older	paid	equity	underlying	held	calculate		(1	X)		Underlying	g, as a %	shar	res	pledg	ed or	shares held
	(1)		(111)	ир	shares	Depository	<u>(VII =</u>	d as per					Outstanding	assuming	()	(II)	other	rwise	in
				equity	held	Receipts	IV+V+VI)	SCRR,					convertible	full			encum		dematerializ
				share	(1)	(VI)		1957					securities	conversion				XIII)	ed form
				s held				As a % of	No of V	oting Rights		Total as a	(including	of	No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2)				% of Total	Warrants)	convertible		% of	(No	% of total	(Not
								(VIII)				Voting	(X)	securities (total Shar	t ap pli	share s	Applicable)
									Class X	Class Y	Tot	rights		as a		es	ca	held	
											al			percentage	-	held	ble	(Not	
														of diluted share	-			applic	
														capital)	-			able)	
														(XI)	1				
1	Custodian/DR		0	0	0	0	0		0	0	0	0	0	(71)	0	0			0
1			Ŭ	Ŭ		Ŭ	Ŭ			Ŭ	ľ	Ŭ	Ŭ		ľ	Ű			Ū
	Holder		<u>^</u>	0	0	<u>^</u>		<u>^</u>	-	0				0	0				0
2	Employee Benefit		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Trust (under SEBI																		
	(Share based																		
	Employee Benefit)																		
	Regulations, 2014)																		
			0	0	0	0	0			0		0	<u>^</u>						0
	Total Non-		0	0	0	0	0		0	0	0	0	0		0	0			0
	Promoter- Non																		
	Public																		
	Shareholding																		
	(C)=																		
	(C)(1)+(C)(2)																		

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise	of right of the SBO in the repo	rting company, whether direct or indir	rect*		Date of creation / acquisition of significant
		1		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	beneficial interest
		1			-				

Table II- Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details su	ch as number of shareholders, outstanding shares held in demat/unclaimed suspense account,									
voting rights which are frozen etc.										
No. of shareholders	No of share held									



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Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such	h as number of shareholders, outstanding shares held in demat/unclaimed suspense account,
voting rights	which are frozen etc.
No. of shareholders	No of share held
	-

Table III- Person in Concert

	Details of the shareholders acting as persons	in Concert including their Shareholdi	ng									
Name of shareholderName of PACNo. of shareHolding %												
-												