

January 04, 2023

Ref.: SSFB/CS/75/2022-23

To,

National Stock Exchange of India Limited

The Listing Department Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E) Mumbai-400 051

Symbol: **SURYODAY**

Dear Sir/ Madam,

BSE Limited

The Corporate Relationship Department Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai-400 001

Scrip Code: **543279**

Subject: Statement under Regulation 13(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended on December 31, 2022

Pursuant to the Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that the investor complaints received by Suryoday Small Finance Bank Limited (the "Bank") for the quarter ended December 31, 2022 were 'Nil' as detailed in the below table, and in this regard, we enclose herewith the Investor Grievance Redressal Certificate issued by the Bank's Registrar and Share Transfer Agent viz. KFin Technologies Limited (Formerly known as KFin Technologies Private Limited):

| Sr. | Status of complaints during the quarter ended December 31, 2022 | No. of complaints |
|-----|---|-------------------|
| No. | | |
| 1 | Number of complaints pending at the beginning of the quarter | Nil |
| 2 | Number of complaints received during the quarter | Nil |
| 3 | Number of complaints disposed of during the quarter | Nil |
| 4 | Number of complaints unresolved at the end of the quarter | Nil |

The above is submitted for your kind information and appropriate dissemination.

Thanking You.

Yours Faithfully, For **Suryoday Small Finance Bank Limited**

Krishna Kant Chaturvedi Company Secretary & Compliance Officer

Encl: As above

SURYODAY SMALL FINANCE BANK LIMITED



KFIN/SMFL/2023 January 4, 2023

To, THE COMPANY SECRETARY SURYODAY SMALL FINANCE BANK LIMITED 1101, SHARDA TERRACES, PLOT NO.65 SECTOR 11, CBD BELAPUR, NAVI MUMBAI 400614

Statement of Investor Complaints for quarter ended 31st December, 2022

This is to certify and disclose the number of complaints received and resolved pursuant to compliance under Regulation 13(3) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015.

Details of the requirement of Regulation 13(3) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 are given below.

| Particulars | Complaint | Complaint Resolved | Complaint | Remarks/Reasons |
|---|--------------|--------------------|-------------|------------------|
| | Received | (In Numbers) | Pending (In | thereof, |
| | (In Numbers) | | Numbers) | required if any: |
| No. of complaints pending as on | 0 | 0 | 0 | |
| 1st October, 2022 | | | | |
| No. of direct complaints received | 0 | 0 | 0 | |
| during the quarter (from 1st | | | | |
| October, 2022 to 31 st December, | | | | |
| 2022) | | | | |
| No. of SEBI complaints received | 0 | 0 | 0 | |
| during the quarter (from 1st | | | | |
| October, 2022 to 31 st December, | | | | |
| 2022) | | | | |
| No. of Exchange complaints | 0 | 0 | 0 | |
| received during the quarter (from | | | | |
| 1st October, 2022 to 31 st | | | | |
| December, 2022) | | | | |

Thanking you,

Regards, For KFin Technologies Limited

Umesh Pandey Manager

KFin Technologies Limited

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To Whomsoever It May Concern.

DATE: 04-01-2023

UNIT: SURYODAY SMALL FINANCE BANK LIMITED

ISIN: INE428Q08073

This is to certify that there are no pending Investor Grievance of the Debenture Holders for the quarter ended 31-12-2022, the details of which are as follows: -

| No. of Complaints pending as on October 1, 2022 | NIL |
|---|-----|
| No. of Complaints received during the quarter (From October 1, 2022 to December 31, 2022) | NIL |
| No. of complaints resolved during the quarter (From October 1, 2022 to December 31, 2022) | NIL |
| No. of Complaints remaining unresolved as on December 31, 2022 | NIL |

Thanking You

Yours faithfully, For KFIN TECHNOLOGIES LIMITED (Formerly known as Kfin Technologies Private Limited)

Jagannadh Chakka **Deputy Manager**